SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

		JVAL									
	OMB Number: 3235-028										
	Estimated average burden										
l	hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Bruni Enrico			2. Issuer Name and Ticker or Trading Symbol <u>Tradeweb Markets Inc.</u> [TW]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) TRADEWEB	(First) MARKETS II	(Middle) NC.	3. Date of Earliest Transaction (Month/Day/Year) 05/31/2024	Officer (give title Other (specify below) Managing Director, Europe/Asia
1177 AVENU (Street) NEW YORK	77 AVENUE OF THE AMERICAS		4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	to a contract, instruction or written plan that is intended to Instruction 10.
		Table I Non D	arivative Securities Acquired Disposed of ar Bon	ficially Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V Amount (A) or Price Tr		Transaction(s) (Instr. 3 and 4)		(1150.4)			
Class A common stock	05/31/2024		M ⁽¹⁾		116	A	\$20.59	89,285 ⁽²⁾	D	
Class A common stock	05/31/2024		S ⁽¹⁾		116	D	\$109.34	89,169 ⁽²⁾	D	
Class A common stock	06/03/2024		M ⁽¹⁾		20	Α	\$20.59	89,189 ⁽²⁾	D	
Class A common stock	06/03/2024		S ⁽¹⁾		20	D	\$109.3	89,169 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(e.g., p	buts, o	calls,	, war	rants	s, options,	, converti	Die sec	urities)				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	orivative (Month/Day/Year) scurities cquired) or sposed (D) str. 3, 4		te Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$20.59	05/31/2024		M ⁽¹⁾			116	(3)	10/26/2028	Class A common stock	116	\$0	117,058	D	
Stock Option (Right to Buy)	\$20.59	06/03/2024		M ⁽¹⁾			20	(3)	10/26/2028	Class A common stock	20	\$0	117,038	D	

Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 1, 2024.

2. This amount includes (i) 3,682 unvested restricted stock units ("RSUs") in respect of the issuer's Class A common stock ("Class A Common Stock") which are scheduled to vest on March 15, 2025, (ii) 14,271 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in a equal installments on March 15, 2025, (iii) 11,879 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2026, (iv) 32,305 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2025, March 15, 2026, and (v) 10,112 unvested RSUs in respect of Class A Common Stock which are scheduled to vest in equal installments on March 15, 2025, March 15, 2026 and March 15, 2027, in each case subject to the reporting person's continued employment through the applicable vesting date.

3. The option is fully vested and exercisable as of the date hereof.

Remarks:

/s/ Douglas Friedman, Attorney-in-Fact for Enrico 0 Bruni

06/04/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.