SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Maisey Simon</u>			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Tradeweb Markets Inc.</u> [ TW ]		tionship of Reporting Per all applicable) Director Officer (give title	son(s) to Issuer 10% Owner Other (specify
	(Last) (First) (Middle) TRADEWEB MARKETS INC. 1177 AVENUE OF THE AMERICAS		3. Date of Earliest Transaction (Month/Day/Year) 03/15/2022		below) Managing Director,	below) Corp. Dev.
(Street) NEW YORK NY 10036 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filin Form filed by One Rep Form filed by More tha Person	orting Person

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Di Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)				(D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(1150.4)
Class A common stock	03/15/2022		S <sup>(1)</sup>		200	D	<b>\$</b> 84.505 <sup>(2)</sup>	66,374 <sup>(3)</sup>	D	
Class A common stock	03/15/2022		S <sup>(1)</sup>		800	D	<b>\$</b> 85.8019 <sup>(4)</sup>	65,574 <sup>(3)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

						-		-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Insti	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 15, 2021.

2. The price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$84.32 to \$84.69, inclusive. The reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 2 and 4 to this Form 4.

3. This amount includes (i) 6,860 unvested restricted stock units ("RSUs") in respect of the issuer's Class A common stock ("Class A Common Stock") which are scheduled to vest in equal installments on each of March 17, 2022 and March 17, 2023, (ii) 20,580 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2023, (iii) 3,883 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2023, (iii) 3,883 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, (iii) 3,883 unvested RSUs in respect of Class A Common Stock which are scheduled to vest on January 1, 2024, in each case subject to the reporting person's continued employment through the applicable vesting date.

4. The price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$85.46 to \$86.06, inclusive.

/s/ Scott Zucker, Attorney-in-

Fact for Simon Maisey

\*\* Signature of Reporting Person Date

03/16/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.